

Number E65924334

Date of Deposit Nov 2 1995

5354-JEL/NDH

I hereby certify that this patent fee is being deposited with the Postal Service.

Addressee's service under 35 U.S.C. 110 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DECLARATION FOR PATENT APPLICATION

I hereby declare that:

My resident, post office address and citizenship are as stated below next to my name (Printed name)

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDE, the specification of which

() is attached hereto.

(X) was filed on February 1, 1994 as Application Serial No. 08/190,411 and was amended on (1) May 2, 1994, (2) _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

Foreign Priority Applications

I hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	Yes ()	No ()
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

U.S. Priority Applications

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>037,230</u> (Applic. Serial No.)	<u>March 26, 1993</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)
<u>PCT/US92/04354</u> (Applic. Serial No.)	<u>May 22, 1992</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)

<u>807,043</u> (Applic. Serial No.)	<u>December 12, 1991</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)
<u>764,365</u> (Applic. Serial No.)	<u>September 23, 1991</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)
<u>728,838</u> (Applic. Serial No.)	<u>July 9, 1991</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)
<u>705,702</u> (Applic. Serial No.)	<u>May 23, 1991</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)

Power of Attorney

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Alfred H. Hemingway, Jr., Reg. No. 26,736; Vincent M. Fazzari, Reg. No. 26,879; Norman D. Hanson, Reg. No. 30,946; Walter G. Weissenberger, Reg. No. 17,344; F. Brice Faller, Reg. No. 29,532; Andrew L. Tiajolloff, Reg. No. 31,575; John P. Luther, Reg. No. 32,261; Christine H. Tsai, Reg. No. 34,266; John A. Bauer, Reg. No. 32,554, and Patricia A. Pasqualini, Reg. No. 34,894, my attorneys with full power of substitution and revocation. Address all telephone calls to Norman D. Hanson, at (212) 688-9200. Address all correspondence to:

FELFE & LYNCH
805 Third Avenue
New York, New York 10022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Yao-Tseng Chen

1) <u>Yao-Tseng Chen</u>	Signature	Date
Full Name/Sole or First Inventor		
Residence: <u>Cornell Medical Center</u>		
<u>New York, New York 10021</u>		
	<u>Taiwan</u>	
	Citizenship	
Home Office Address: <u>Dept. of Pathology, New York Hospital</u>		
<u>Cornell Medical Center, New York, New York 10021</u>		

(2) Elisabeth Stockert

Full Name/Second Inventor

Signature

Date

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New York, New York 10021

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Citizenship

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New York, New York 10021

(3) Yachi Chen

Full Name/Third Inventor

Signature

Date

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U.S.A.

Citizenship

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New York, New York 10021

(4) Pilar Garin-Chesa

Full Name/Fourth Inventor

Signature

Date

Residence: Amriswilstrasse 7

D-88400 Biberach, Germany

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Citizenship

Post Office Address: Amriswilstrasse 7

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(5) Wolfgang J. Rettig

Full Name/Fifth Inventor

Signature

Date

Residence: Amriswilstrasse 7

D-88400 Biberach, Germany

German

Citizenship

Post Office Address: Amriswilstrasse 7

D-88400 Biberach, Germany



Applicant/Inventor: Yao-Tseng Chen et al.

Serial/Patent No.: _____

Filed/Trade Name: Concurrently herewith

For: MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES

Attorney's Docket No.: LUD 253.6-JEL/NDH

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION Memorial Sloan-Kettering Cancer Center

ADDRESS OF ORGANIZATION 1275 York Avenue
New York, New York 10021

TYPE OF ORGANIZATION

- () UNIVERSITY OR OTHER INSTITUTE OF HIGHER EDUCATION
(X) TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
() NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
() WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
() WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES by inventor(s) Yao-Tseng Chen et al.

described in

- (x) the specification filed herewith
() application Serial No. _____, filed _____
() Patent No. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

Cont'd

FELFE & LYNCH
805 Third Avenue
New York, New York 10022
(212) 688-9200

Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.
Number EG 592473953 US
Date of Deposit NOV 17, 1995
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Verified Statement (Declaration) Claiming Small Entity Status
37 CFR 1.9(f) and 1.27(d)) - Nonprofit Organization

FELFE & LYNCH

(Printed name)

BRIAN R. McLANE
Brian R. Mc Lane

Applicant/Patentee: Yao-Tseng Chen et al.

Serial/Patent No.: _____

Filed/Issued: Concurrently herewith

For: MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES

Attorney's Docket No.: LUD 253.6-JEL/NDH

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME _____

ADDRESS _____

() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Lloyd J. Old

TITLE IN ORGANIZATION Director

ADDRESS OF PERSON SIGNING 1345 Avenue of the Americas
New York, New York 10105

SIGNATURE _____

DATE _____

NAME OF PERSON SIGNING Edward A. McDermott

TITLE IN ORGANIZATION Secretary and General Counsel

ADDRESS OF PERSON SIGNING 1345 Avenue of the Americas
New York, New York 10105

SIGNATURE _____

DATE _____

FELFE & LYNCH
805 Third Avenue
New York, New York 10022
(212) 688-9200



Applicant/Patentee: Yao-Tseng Chen et al.

Serial/Patent No.: _____

Filed/Issued: Concurrently herewith

For: MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES

Attorney's Docket No.: LUD 253.6-JEL/NDH

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

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TYPE OF ORGANIZATION

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(CITATION OF STATE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES by inventor(s) Yao-Tseng Chen et al.

described in

- (x) the specification filed herewith
() application Serial No. _____, filed
() Patent No. _____, issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

Cont'd

FELFE & LYNCH
805 Third Avenue
New York, New York 10022
(212) 688-9200

**Verified Statement (Declaration) Claiming Small Entity Status
37 CFR 1.9(f) and 1.27(d)) - Nonprofit Organization**

Applicant/Patentee: Yao-Tseng Chen et al.
Serial/Patent No.: _____
Filed/Issued: Concurrently herewith
For: MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1,
RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES
Attorney's Docket No.: LUD 253.6-JEL/NDH

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

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NAME OF PERSON SIGNING _____
TITLE IN ORGANIZATION _____
ADDRESS OF PERSON SIGNING _____

SIGNATURE

DATE

NAME OF PERSON SIGNING _____
TITLE IN ORGANIZATION _____
ADDRESS OF PERSON SIGNING _____

SIGNATURE

DATE

FELFE & LYNCH
805 Third Avenue
New York, New York 10022
(212) 688-9200

Number _____
Date of Deposit NOV. 17, 1995
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date indicated above and is addressed to the
Commissioner of Patents and Trademarks,
Washington, D.C. 20590

253.6-JEL/NDH

DECLARATION FOR PATENT APPLICATION

Brian R. McLaughlin named inventor, I hereby declare that:
FELTE & LYNCH

My resident, post office address and citizenship are as stated below next to
(Print name)

Brian R. McLaughlin
(Signature) I believe I am the original, first and sole inventor (if only one name is
listed below) or an original, first and joint inventor (if plural names are
listed below) of the subject matter which is claimed and for which a patent
is sought on the invention entitled MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR
REJECTION ANTIGEN PRECURSOR MAGE-1, RECOMBINANT MAGE-1, AND MAGE-1 DERIVED
IMMUNOGENIC PEPTIDES, the specification of which

(X) is attached hereto.
() was filed on _____ as Application Serial No. _____
and was amended on (1) _____, (2) _____ (if
applicable).

I hereby state that I have reviewed and understand the contents of the above
identified specification, including the claims, as amended by any amendment
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Foreign Priority Applications

I hereby claim foreign priority benefits under Title 35, United States Code
119 of any foreign application(s) for patent or inventor's certificate listed
below and have also identified below any foreign application for patent or
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on which priority is claimed:

Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	Yes ()	No ()
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U.S. Priority Applications

I hereby claim the benefit under Title 35, United States Code, §120 of any
United States application(s) listed below and, insofar as the subject matter
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<u>PCT/US92/04354</u> (Applic. Serial No.)	<u>May 22, 1992</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)
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<u>764,364</u> (Applic. Serial No.)	<u>September 23, 1991</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)
<u>728,838</u> (Applic. Serial No.)	<u>July 9, 1991</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)
<u>705,702</u> (Applic. Serial No.)	<u>May 23, 1991</u> (Filing Date)	<u>Pending</u> (Status-patented/pending/abandoned)

Power of Attorney

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Alfred H. Hemingway, Jr., Reg. No. 26,736; Vincent M. Fazzari, Reg. No. 26,879; Hallie R. Levie, Reg. No. 31,116; Charles A. Blank, Reg. No. 17,419; Norman D. Hanson, Reg. No. 30,946; Walter G. Weissenberger, Reg. No. 17,344; F. Brice Faller, Reg. No. 29,532; Andrew L. Tiajolloff, Reg. No. 31,575; John P. Luther, Reg. No. 32,261; Christine H. Tsai, Reg. No. 34,266 and John A. Bauer, Reg. No. 32,554, my attorneys with full power of substitution and revocation. Address all telephone calls to Norman D. Hanson, at (212) 688-9200. Address all correspondence to:

FELFE & LYNCH
805 Third Avenue
New York, New York 10022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<u>(1) Yao-Tseng Chen</u>		
Full Name/Sole or First Inventor	Signature	Date
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		Citizenship
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<u>Cornell Medical Center, New York, New York 10021</u>		

(2) Elisabeth Stockert

Full Name/Second Inventor

Signature

Date

Residence: 1275 York Avenue

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U.S.A.
Citizenship

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1275 York Avenue, New York, New York 10021

(3) Yachi Chen

Full Name/Third Inventor

Signature

Date

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U.S.A.
Citizenship

Post Office Address: Memorial Sloan-Kettering Cancer Center

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(4) Pilar Garin-Chesa

Full Name/Fourth Inventor

Signature

Date

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U.S.A.
Citizenship

Post Office Address: Dept. of Pathology, New York Hospital

Cornell Medical Center, New York, New York 10021

(5) Wolfgang J. Rettig

Full Name/Fifth Inventor

Signature

Date

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New York, New York 10021

U.S.A.
Citizenship

Post Office Address: Memorial Sloan-Kettering Cancer Center

1275 York Avenue, New York, New York 10021

(6) Pierre van der Bruggen

Full Name/Sixth Inventor _____ Signature _____ Date _____

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Belgium
Citizenship

Post Office Address: Avenue Hippocrate 74, UCL 7459
B-1200 Brussels, Belgium

(7) Thierry Boon-Falleur

Full Name/Seventh Inventor _____ Signature _____ Date _____

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Belgium
Citizenship

Post Office Address: Avenue Hippocrate 74, UCL 7459
B-1200 Brussels, Belgium

(8) Lloyd J. Old

Full Name/Eighth Inventor _____ Signature _____ Date _____

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New York, New York 10105 _____
U.S.A.
Citizenship

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New York, New York 10105